



Self-Certification of Tax Residence

Danske Bank A/S is required to obtain this self-certification pursuant to international agreements on the exchange of information on financial accounts (FATCA (Foreign Account Tax Compliance Act) and CRS (Common Reporting Standard)) and the Tax Reporting Act. As a bank, we are required to obtain information about customers' tax residence and tax identification numbers (Part 1). In addition, customers must be classified (Part 2) in accordance with the definitions set out in the Tax Authorities regulations.

Entities must complete this form when establishing a new account relationship or when requested by the Bank. Under both FATCA and CRS, the Entity must provide accurate information on its tax residence, relevant TIN(s), and classification, including controlling person information where required (Part 3). If the form is not returned, the Entity may be treated as reportable.

If you are unsure how to complete this form, you should consult a tax advisor or the tax authorities.

Information about the Accountholder (the "Entity"):

Name	Registration number
Address	

1. Tax Residence

Where the Entity is tax resident and relevant Taxpayer Identification Number(s) (TIN(s)).

Country where the Entity is tax resident	TIN, if other than the Entity's organization number
Additional country of tax residence	Foreign TIN or equivalent
Additional country of tax residence	Foreign TIN or equivalent

2. The Entity's Classification

The Entity must be classified. Only one of the alternatives may be selected. Tick the relevant box under one of sections 2a-2c. Definitions are provided below. Please read them carefully before ticking the relevant box.

2a Financial Institutions. Tick the relevant box and follow the instructions.

☐ The Entity is an investment entity resident in a jurisdiction that has not adopted CRS and is managed or controlled by another financial institution. Complete Part 3 regarding controlling persons and proceed to Part 4 - signature.	GIIN GIIN for sponsor, if «sponsoring entity»:
☐ The Entity is a depository institution or custodial institution, a specified insurance company, or another investment entity than mentioned above. State the GIIN if the entity has one. Then proceed to Part 4 - signature.	
☐ If the entity is a "sponsored entity", please state the name of the Sponsor:	

2b Active Non-Financial Entity. Tick the relevant box and follow the instructions.

☐ The Entity is an Active Non-Financial Entity and the company's shares are regularly traded on an established securities market, or the company is related to such a company. Proceed to Part 4 - signature.
☐ The Entity is an Active Non-Financial Entity as a public entity, an international organisation, a central bank, or a wholly owned entity or operation of one of the foregoing. Proceed to Part 4 - signature.
☐ The Entity is an Active Non-Financial Entity of a type other than those mentioned above. Proceed to Part 4 - signature.

2c Passive Non-Financial Entity. Tick the relevant box and follow the instructions.

☐ The Entity is a Passive Non-Financial Entity. The Entity is an entity of a type other than all those mentioned above under 2b. Complete Part 3 regarding controlling persons and proceed to Part 4 - signature.
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**3. Controlling Person(s)**

This section must only be completed if the entity is I) a Passive Non-Financial Entity, or II) an Investment Entity resident in a jurisdiction that has not adopted CRS and is managed or controlled by another financial institution.

See the definition of controlling person below and complete the information for each such person:

Name	Personal Identification Number	
Address	Birthdate	Place of Birth
US citizenship/tax residence Is the Controlling Person a US citizen or otherwise tax resident in the US? ☐ Yes ☐ No US SSN/TIN: _____ (Place of birth in the US, Green Card or substantial presence)		
Tax residence	TIN (or reason for missing TIN)	
Tax residence	TIN (or reason for missing TIN)	
Controlling Person by virtue of: ☐ More than 25% ownership interest (shares or votes), ☐ Member of senior management, ☐ Other role _____		

Name	Personal Identification Number	
Address	Birthdate	Place of Birth
US citizenship/tax residence Is the Controlling Person a US citizen or otherwise tax resident in the US? ☐ Yes ☐ No US SSN/TIN: _____ (Place of birth in the US, Green Card or substantial presence)		
Tax residence	TIN (or reason for missing TIN)	
Tax residence	TIN (or reason for missing TIN)	
Controlling Person by virtue of: ☐ More than 25% ownership interest (shares or votes), ☐ Member of senior management, ☐ Other role _____		

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Tax residence	TIN (or reason for missing TIN)	
Tax residence	TIN (or reason for missing TIN)	
Controlling Person by virtue of: ☐ More than 25% ownership interest (shares or votes), ☐ Member of senior management, ☐ Other role _____		



4. Signature

☐ I certify that I have the capacity to sign for the entity identified in this form.

I confirm that all information provided here is correct and complete and that I will notify Danske Bank of any material change within 30 days of the change.

Place and date

Signature

Name in capital letters (authorized signatory)



Definitions

Entity

An entity is defined as any legal person, foundation, fund, institution, organisation, or other arrangement or association.

Financial Institution

A financial institution is defined as a depository institution, custodial institution, specified insurance company, or investment entity.

A **depository institution** is an entity that accepts deposits in the ordinary course of a banking business or similar business, including entities that grant credit and allow customers to pay in amounts exceeding outstanding balances without immediate repayment.

A **custodial institution** is an entity that holds or manages products on behalf of others. This does not apply where less than 20% of the entity's income is derived from custody and management of financial assets and related financial services over the past three financial years, or over the period the entity has existed if shorter.

A **specified insurance company** is an entity that is an insurance company, an insurance holding company, or another entity that issues or is obliged to make payments under an insurance contract with a cash value, or under a pension contract, annuity, or other contract where the payment period is wholly or partly determined by reference to one or more individuals' life expectancy.

An **investment entity** is an entity that:

- derives 50% or more of its gross income over the past three financial years from activities such as trading in financial instruments, portfolio management, or other investment, administration, or management of funds on behalf of others; or
 - primarily derives income from investing, reinvesting, or trading in financial assets and is managed or controlled by a financial institution.
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GIIN

GIIN (Global Intermediary Identification Number) is a global identification number issued by the US tax authorities (IRS) to financial institutions.

Sponsored Entity

An entity is a sponsored entity where another entity fulfils FATCA reporting obligations on its behalf.

Active Non-Financial Entity

An Active Non-Financial Entity (Active NFE) is an entity that is not a Financial Institution and meets at least one of the following criteria:

- **Primarily non-passive income/assets** - less than 50% of gross income and less than 50% of assets are passive.
 - **Listed company** - shares are regularly traded on an established securities market, or the entity is related to such a company.
 - **Holding company, start-up company, in-group financing entity, entity in liquidation, or public entity**, as further detailed in the original definitions.
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Passive Non-Financial Entity

A passive non-financial entity (passive NFE) is an entity that is neither a financial institution nor an active non-financial entity.



Controlling Person

Controlling persons are natural persons who ultimately own or control an entity, including through ownership of more than 25%, control through other means, or management roles. Definitions vary by Nordic country and include:

1. **A natural person who holds a certain percentage of ownership (more than 25%).**
Where no natural person exercises control through ownership or voting rights, a controlling person shall be defined as:
2. **A natural person who exercises control by virtue of another role** (for example, the right to appoint or remove senior management).
Where no natural person exercises control by virtue of another role, a controlling person shall be defined as:
3. **Senior management.**
Senior management is defined differently in the Nordic countries, and the following applies:
 - Denmark: management as registered in a business register
 - Norway: managing director and board members
 - Finland / Sweden: CEO or equivalent

For trusts and similar arrangements, controlling persons include settlors, trustees, protectors, beneficiaries, or other persons exercising ultimate control.

Jurisdictions That Have Not Adopted CRS

Jurisdictions that do not participate in the automatic exchange of financial account information are considered non-CRS jurisdictions. Further information may be obtained from the tax authorities.